



JOINT ACCOUNT APPLICATION MANAGED ACCOUNT



1. Primary Account Holder							
First Name		Middle Name		Surname			
Gender		Date of Birth (DD/MM/YYYY)		Place of Birth		Nationality	
<input type="checkbox"/> Male <input type="checkbox"/> Female		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>					
Home Address (Please attach proof of residence) (P.O. BOX may not be accepted)							
No. and Name of Street							
City / Town		County / Province		Post Code		Country	
Telephone Number (inc. Country Code)				Mobile Telephone Number (inc. Country Code)			
Passport No.							
National Insurance Number (required for UK citizens / residents only)							
Employment Status <input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Unemployed							
Occupation (required if Employed or Self-employed)				Industry (required if Employed or Self-employed)			
Source of funding (required if Employed or Self-employed)							
<input type="checkbox"/> Savings <input type="checkbox"/> Gift <input type="checkbox"/> Other (please specify)							

2. Secondary Account Holder							
First Name		Middle Name		Surname			
Gender		Date of Birth (DD/MM/YYYY)		Place of Birth		Nationality	
<input type="checkbox"/> Male <input type="checkbox"/> Female		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>					
Home Address (Please attach proof of residence) (P.O. BOX may not be accepted)							
No. and Name of Street							
City / Town		County / Province		Post Code		Country	
Telephone Number (inc. Country Code)				Mobile Telephone Number (inc. Country Code)			
Passport No.							
National Insurance Number (required for UK citizens / residents only)							
Employment Status <input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Unemployed							
Occupation (required if Employed or Self-employed)				Industry (required if Employed or Self-employed)			
Source of funding (required if Employed or Self-employed)							
<input type="checkbox"/> Savings <input type="checkbox"/> Gift <input type="checkbox"/> Other (please specify)							

FINANCIAL INFORMATION

1. What is your total estimated annual income?			3. Will any person other than Trader control, manage, or direct the trading in this account? <input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Under £25,000	<input type="checkbox"/> £25,000 - £49,999	<input type="checkbox"/> £50,000 - £99,999	
<input type="checkbox"/> £100,000 - £249,999	<input type="checkbox"/> £250,000 - £1,000,000	<input type="checkbox"/> Over £1,000,000	
2. What are your total estimated savings and investments?			If yes, please request or supply a Limited Power of Attorney
<input type="checkbox"/> Under £25,000	<input type="checkbox"/> £25,000 - £49,999	<input type="checkbox"/> £50,000 - £99,999	
<input type="checkbox"/> £100,000 - £249,999	<input type="checkbox"/> £250,000 - £1,000,000	<input type="checkbox"/> Over £1,000,000	
Do you have or have you ever had any other account(s) with ITI Capital Limited?			
<input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, Account Number(s): <input type="text"/>			
Account Type			Base Currency
<input type="checkbox"/> Execution Only <input type="checkbox"/> Advisory <input type="checkbox"/> Foreign Exchange			<input type="checkbox"/> EUR <input type="checkbox"/> GBP <input type="checkbox"/> USD
What is your Investment Objective?			
<input type="checkbox"/> Growth <input type="checkbox"/> Hedging <input type="checkbox"/> Income <input type="checkbox"/> Speculation			

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

1. Over the past 12 months, how often have you traded the following products?	
Forex, Futures, CFDs:	<input type="checkbox"/> Rarely/Never <input type="checkbox"/> Sometimes <input type="checkbox"/> Frequently
Shares, Derivatives, Other:	<input type="checkbox"/> Rarely/Never <input type="checkbox"/> Sometimes <input type="checkbox"/> Frequently
2. Do you intend to trade securities on the US markets?	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
If "Yes" is selected: If you reside outside the United States, in a country that has a tax treaty with the USA, you are required to send an original signed copy of the W- 8BEN form to ITI Capital Limited. Otherwise, you may be subject to a higher rate of tax withholding on income (dividends, interest, etc.) in your account.	
3. Have you worked for a financial services company and/or are you qualified as a professional trader?	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
4. In each of the last four quarters, have you placed at least ten trades? This must be in the same product that you are opening your account for.	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
5. For how many years have you been trading? <input type="text"/>	

You should complete this Acknowledgement Page only after reading the relevant documents referred to in the Terms. When you are prepared to enter into the Agreement by paper rather than electronic means, you should sign the below Acknowledgement Page and return one signed copy to the Company.

A. Please complete this Acknowledgement Page by ticking the boxes and signing where indicated.

1. We have read, understood, and agree to the Terms of Business: <ul style="list-style-type: none"> ■ Terms of Business 	<input type="checkbox"/>						
<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;"> Primary Signature </td> <td style="width: 50%; border: none;"> Joint Account Signature </td> </tr> <tr> <td style="border: none;"> Print Name </td> <td style="border: none;"> Print Name </td> </tr> <tr> <td style="border: none;"> Date (DD/MM/YYYY) </td> <td style="border: none;"> Date (DD/MM/YYYY) </td> </tr> </table>	Primary Signature 	Joint Account Signature 	Print Name 	Print Name 	Date (DD/MM/YYYY) 	Date (DD/MM/YYYY) 	
Primary Signature 	Joint Account Signature 						
Print Name 	Print Name 						
Date (DD/MM/YYYY) 	Date (DD/MM/YYYY) 						
2. We have read, understood, and agree to the following documents: <ul style="list-style-type: none"> Risk Disclosure Notice Order Execution Policy Categorisation Notice Conflicts of Interest Policy Counterparty Risk Policy All of which can be found on the ITI Capital website.	<input type="checkbox"/>						
3. We agree and acknowledge that the Company may execute an order on my behalf outside a regulated market or multi-lateral trading facility (as such terms are defined by FCA rules).	<input type="checkbox"/>						
4. We agree and acknowledge that in the case of a limit order in shares admitted to trading on a regulated market which is not immediately executed, the Company is not bound to facilitate the earliest possible execution of that order by making it public in an easily accessible manner.	<input type="checkbox"/>						
5. We agree and acknowledge that the Company may enter into arrangements for securities financing transactions in respect of financial instruments held by the Company on my behalf (including an omnibus account held by a third party) or otherwise use such financial instruments for its own account or the account of another client.	<input type="checkbox"/>						
6. We agree that my financial instruments may be registered in the Company's name or in the name of the Company's Nominee.	<input type="checkbox"/>						
7. We consent to electronic communication in accordance with these terms.	<input type="checkbox"/>						
8. We consider the Company's offered product(s) (as relevant) as being suitable products for me.	<input type="checkbox"/>						

B. AGREEMENT

CUSTOMER INFORMATION. We hereby represent that the information provided by me is true and correct. We further represent that we will notify ITI Capital Limited of any material changes in writing. ITI Capital Limited reserves the right, but has no duty, to verify the accuracy of information provided, and to contact such bankers, brokers and others as it deems necessary.

THIS IS A CONTRACTUAL AGREEMENT. YOU WILL BE BOUND HEREBY. DO NOT SIGN UNTIL YOU HAVE READ ALL OF THE FOREGOING CAREFULLY. we acknowledge that this Client Agreement is a legally binding contractual agreement. We have read the Client Agreement carefully, and by signing, we agree to be bound by every term and condition. No modification of this Client Agreement is valid unless accepted by ITI Capital Limited in writing. We confirm that we have received a full set of account documents and we have not made any alterations or deletions to this agreement or any such documents from the original forms. In the event that there are any alterations or deletions to this agreement, such alteration and deletions shall not be binding on ITI Capital Limited and said original forms shall govern Trader account relationship with ITI Capital Limited.

Where we sign in a representative capacity, we confirm that we have full power and authority to enter into this Agreement.

Primary Signature

Print Name

Date (DD/MM/YYYY)

Joint Account Signature

Print Name

Date (DD/MM/YYYY)

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To comply with The Money Laundering Regulations 2007, to prevent money laundering and the funding of terrorism, financial institutions such as ITI Capital Limited are required by law to obtain, verify and retain information that identifies each individual who opens an account.

Required Account Supporting Documents

ITI Capital Limited requires a copy of the following for each named account holder: You may submit your documents as scanned images (JPG, GIF, DOC, PDF—no password protection please) to our Operations department at customerservice@iticapital.com.

1. A photocopy of one form of a non-expired, government issued photo ID.

Your identification must clearly indicate your full name and date of birth. All documents that contain an expiration date must be valid, unless stated otherwise.

Examples of this include a:

- Passport
- Driving licence
- National Identity Card
-

2. A proof of residence dated within six months for the address indicated on the application. (PO Boxes are not acceptable.)

Please note that we are unable to accept any statement or bill that has not been received in its entirety. Information such as the billing name and address must be visible, as well as the company that has issued the bill. Your document must not be folded. Payment stubs are not acceptable, as they display limited information. Confidential information, such as an account number(s), may be removed at your own discretion.

Examples of this include a:

- Utility bill Telephone
- bill Mortgage
- statement
-
- Credit card statement
- Bank statement Current
- lease agreement
-

Foreign Status Certification & U.S Tax Payer Certification

If an account is held by a non U.S. citizen or non U.S. registered business entity, ITI Capital Limited must have a Certification of Foreign Status on file for the account.

If an account is held by a U.S. citizen, U.S. resident alien or U.S. business entity, you are required to certify your tax status by completing W-9 form.

This information is required for foreign financial institution tax withholding purposes under the United States Internal Revenue Service Tax laws. ITI Capital Limited must establish your proper classification with respect to residency for tax purposes in order to determine the proper tax withholding and file the appropriate reports with the Internal Revenue Service.

To provide or update your Certification, complete the appropriate form below. Please note that you must complete this form prior to trading and any time the information on this form has changed.

Description	
A non U.S. individual.	W-8BEN
A U.S. citizen or resident.	W-9

This Agency Agreement (“Agreement”) is entered into on [DAY] [MONTH] [YEAR] between:

(1) [Redacted] (the “Client”)
Name of Client/Clients if Joint Account/Pension Member(s)

having ITI Capital Limited Account Number

[Redacted] (the “Original Client Account”); and
Account Number (leave blank if you do not have an account number)

(2) [Redacted] (name and ID together, the “Agent”).
Name of Agent [Redacted] *Trading Agent ID (if any)*

1. The Client hereby (a) instructs ITI Capital Limited (the “Firm”), subject to its acceptance of this Agreement and the Firm’s decision as to whether or not a new account is necessary, to create a new account in the legal name and for the beneficial interest of the Client, to be managed by the Agent (the new account, or the Original Client Account if no new account was created, shall be referred to herein as the “Managed Account” unless the context otherwise requires), and (b) appoints the Agent in respect of the Managed Account to be the Client’s agent for the following purposes: (i) to enter into, modify, and/or close transactions with the Firm in any products offered by the Firm from time to time; (ii) to set, edit, and/or delete all dealing preferences relating to the Managed Account; (iii) to enter into any agreements with the Firm on behalf of the Client, which relate to transactions on the Managed Account; (iv) to communicate with the Firm on behalf of the Client regarding any complaints or disputes that the Client or Firm may have against one another relating to the Managed Account; and (v) to accept any amendments to the Firm’s terms of business governing the Managed Account, on behalf of the Client. The authorisation given by the Client to the Agent in this paragraph 1 is subject to the following terms.
2. The Agent agrees not to take any action that would or might fall outside the powers and authorities granted by the Client to the Agent under this Agreement. The Client and the Agent expressly acknowledge that the Firm will not be responsible for controlling the conduct of the Agent and/or preventing the Agent from acting outside of the bounds of this Agreement. The Client and the Agent hereby indemnify (jointly or severally) the Firm and keep it indemnified against any loss, damage or expense incurred by the Firm as a result of: (a) the Firm acting on instructions of the Agent that fall outside the powers and authorities granted herein; or (b) the Agent’s breach of any term of this Agreement.
3. The Client and the Agent acknowledge that the Managed Account and all transactions entered into on it are governed by the Firm’s terms of business and the Agent agrees to comply with and be bound by the Firm’s terms of business when acting with the Firm on behalf of the Client. By signing this Agreement, the Agent confirms that he/she/it read, understood and agrees to the Firm’s terms of business (as amended from time to time).
4. The Client and the Agent agree that the Agent may use its own discretion when dealing on behalf of the Client under this Agreement. The Firm may take into account the knowledge and experience of the Agent when making any determination of the appropriateness of a transaction or transactions for the Client.
5. The Client understands that the Agent may use an automated electronic program to generate orders for, or to place trades on, the Managed Account, and that the Agent’s program may not be and/or remain compatible with the Firm’s electronic trading system, or any third party system that the Firm uses to connect its system to the Agent’s program, during the life of this Agreement. The Client and the Agent agree that the Firm will not be liable for any losses that the Client and/or Agent may suffer as a result of the Agent’s use of any electronic trading program and/or the Firm’s use of any third party system that the Agent or Firm may use to connect the Agent’s program to the Firm’s system, so long as the Firm exercised a reasonable level of care in connecting its system to the Agent’s program and/or in choosing a third party system to connect the Agent’s program to the Firm’s system.
6. The Client authorises the Firm to accept all instructions given to the Firm by the Agent, whether orally or in writing, in relation to the Managed Account. The Firm shall not be obliged to make any enquiry of the Client or of any other person before acting on such instructions. The Firm, acting in its sole and absolute discretion, may refuse to accept instructions from the Agent in relation to the Managed Account on a one-off or on-going basis. The Firm need not specify its reasons for refusing instructions from the Agent. The Client accepts full responsibility and liability for all instructions given to the Firm by the Agent (and for all transactions that may be entered into as a result) and will indemnify the Firm and keep it indemnified against any loss, damage or expense incurred by the Firm as a result of its acting on such instructions.
7. The Client is responsible for selecting the Agent and ensuring that the Agent is appropriate for the Client. The Client

acknowledges that the Firm is not responsible to the Client, and has no obligation to the Client, to determine that the Agent has the requisite legal and regulatory power and authority to perform its contract with the Client. The Client further represents and warrants that he/she/it did not select the Agent, management module or level of compensation (if any) based on any statement, recommendation or information provided by the Firm.

8. The Agent represents that, where required, he/she/it has all of the government and other approvals, authorisations, licenses and permits, including but not limited to registration with and authorisations from the Financial Conduct Authority of the United Kingdom (or any other regulatory body where required), necessary to carry on all the activities contemplated by this Agreement as well as any other activities as may be agreed between the Agent and the Client. The Client and the Agent hereby indemnify (jointly or severally) the Firm and keep it indemnified against any loss, damage or expense incurred by the Firm as a result of the Agent's failure to hold (where required) any government and other approvals, authorisations, licenses and permits necessary to act on behalf of the Client. This indemnity shall be effective irrespective of the circumstances giving rise to such loss, damage or expense, and irrespective of any knowledge, acts or omissions of the Firm.
9. The Client acknowledges and agrees that the Agent is not an employee, agent or representative of the Firm and further that the Agent does not have any power or authority to act on behalf of the Firm or to bind the Firm in any way.
10. The Firm may from time to time communicate with the Agent directly regarding the Managed Account. The Client consents to this and the Agent agrees to pass any such communications on to the Client without delay. Communications made by the Firm to the Agent are deemed to be received by the Client at the same time at which they are received by the Agent.
11. The Client expressly consents to and authorises the Firm to disclose to the Agent, who may reside outside of the European Economic Area, all information that the Firm holds in relation to the Managed Account, including Personal Data (as defined under the U.K. Data Protection Act 1998) that the Firm holds in relation to the Client.
12. The Firm's acceptance of this Agreement is conditional upon the Agent opening an account with the Firm in his/her/its personal capacity and maintaining that account for the entire period that it acts as agent for the Client under this Agreement. The Agent is not required to fund the personal account, nor is

the Agent required to conduct any transactions on the personal account.

13. The Client or the Agent may terminate this Agreement by submitting a Revocation of Authorisation form (ROA) to the Firm. Any such notice shall not take effect until two working days after it is received by the Firm (unless the Firm notifies the Client that the Agreement is earlier terminated). Alternatively, the Firm may terminate this Agreement at any time, with or without reason, immediately upon notice to the Client. Where the Client or the Firm terminate this Agreement, the Client acknowledges that the Firm may open a new account in the legal name and for the beneficial interest of the Client, and transfer any remaining monies from the Managed Account to such new account. Any termination or amendment of this Agreement shall be without prejudice to any rights or obligations of the Client, the Agent or the Firm which arose or relates to any event which occurred, prior to such termination or amendment becoming effective. In particular the Client acknowledges that it will remain liable for all instructions given to the Firm prior to the revocation or amendment being effective, and that it will be responsible for any losses which may arise on any transactions which are open at such time.
14. This Agreement may be executed in multiple counterparts, which together will have the same effect as if the Client and Agent had signed the same document.
15. The Client and the Agent acknowledge and agree that this Agreement confers third-party beneficiary status on the Firm. The Firm may enforce this Agreement against the Client and/or Agent as to matters under this Agreement that pertain to it. The Firm may do so by legal proceedings or otherwise.
16. This Agreement may only be amended by submitting a new Agency Agreement to the Firm, signed by both parties and referencing the same Account. The Client and the Agent further agree that any such amendment will not take effect until the new Agency Agreement is accepted by the Firm.
17. This Agreement shall supersede all previous agreements, promises, assurances, warranties, representations and understandings between the Client and the Agent, whether written or oral, relating to its subject matter.
18. This Agreement shall be governed by and construed in accordance with the laws of England and Wales and the parties irrevocably submit to the jurisdiction of the English courts in relation to any dispute arising out of this Agreement.

19. The Agent and the Client have agreed on the type of management module to be used by the Agent, noted below, choosing either a Percentage Allocation Management Module (PAMM) or a Lot Allocation Management Module (LAMM). Where no box is ticked below, the Agent and the Client agree that the Agent shall employ the Lot Allocation Management Module (LAMM).

The Client and Agent have agreed to use the following management module: [please tick one]

LAMM PAMM¹

¹ Where the Client and the Agent agree upon the use of a PAMM, the Client and Agent acknowledge and accept the following: (a) the Agent may be restricted from making any transactions in the Client's account while the system performs any necessary adjustments during settlement and rollovers, and the Client will be responsible for the market movement during this period; (b) the Client may be restricted from making any account transactions until the end of the following business day; and (c) the Client may receive limited intraday reports of the activity that occurred on the Managed Account.

BALANCE TRANSFER

20. The Client should only complete this section if it wishes to fund the Managed Account with monies from the Original Client Account where a new account is created under paragraph 1. The Client hereby requests that the Firm transfer the amount designated below from the Original Client Account to the Managed Account, such transfer to occur generally within one (1) to two (2) business days from the Firm's acceptance of this Agreement subject to the Firm's compliance and administrative procedures as well as its rights under the terms of business governing the Original Client Account. Where designated, the Client further instructs the Firm to close the Original Client Account following the transfer. The Client indemnifies the Firm and keeps it indemnified against any loss, damage or expense the Client may suffer as a result of the total or partial transfer of monies from the Original Client Account in accordance with the Client's instructions below.

TOTAL Balance¹

Please check here if the Original Client Account should be closed following the transfer of the total balance.

PARTIAL Balance²

Transfer Amount

¹ The Firm will not process a total balance transfer request where there are open positions on the Original Client Account at the point of processing. It is the Client's obligation to close all open positions on the Original Client Account before instructing the Firm to transfer the entirety of its balance to the Managed Account.

² The Firm may or may not process a partial balance request where there are open positions on the Original Client Account at the point of processing. It is the Client's obligation to ensure that the Original Client Account has enough usable margin at the time a partial balance request is processed to support any and all open positions on the Original Client Account following the transfer of funds. Failure to do so may result in a default (as defined under the Firm's terms of business) and a partial or complete close out of the Client's open positions.

COMPENSATION

21. The Client hereby ratifies and confirms that he/she/it agreed to pay the fees below to the Agent (if any) in accordance with the terms of this Agreement. The Client and Agent authorise the Firm to facilitate the instructions below from the Managed Account without further direction or confirmation from the Client or Agent. The Client and Agent acknowledge that the Firm will not be held responsible for any miscalculation or nonpayment of the Fees. The Client indemnifies the Firm and keeps it indemnified against any loss, damage or expense suffered by the Agent for any miscalculation or non-payment of said Fees for any reason whatsoever.
22. Where the Client requests a withdrawal and/or transfer from the Managed Account, and there are insufficient funds available to pay the Agent as a result of such request or other action taken by the Client, the Client hereby agrees and understands that such request may not be processed for the full amount requested and the Client further agrees that he/she/it will remain obligated to make payment to the Agent for any payments due pursuant to this Agreement.
23. Where the Agent is also a Referring Broker to the Client, the Client acknowledges that the Agent may receive transaction based compensation in the form of rebates or commissions. The Client further acknowledges and accepts that the receipt of transaction-based compensation constitutes a conflict of interest as it may create an incentive for the Agent to trade the Managed Account more frequently in order to generate additional revenue.

The Client and Agent have agreed to use the following compensation structure (if any)(a) FX

Trading Commission

[insert amount here] per million traded round turn

(b) Management Fee¹

[insert % amount here] % Monthly Quarterly Semi-Annually Annually [tick one]

(c) Performance Fee²

[insert % amount here] % Monthly Quarterly [tick one]

¹ The "Management Fee" is calculated based upon the ending daily average account equity (which includes floating profit/loss), for the given period without regard to the ending daily average account equity for any other time period.

² The "Performance Fee" is calculated based upon the profit made for the given time period that exceeds the Benchmark(s). For the purpose of this agreement, a "Benchmark" is defined as the net new high for a given time period during the life of the account. The initial Benchmark will be based upon the current account equity (which includes all open and closed positions), as of the Effective Date of this Agreement. Profit shall be determined by taking into consideration the ending account equity on all trading activity (which includes all open and closed positions), during the given time period relative to previous net new highs set from previous Benchmark(s).

Notes: Monthly or Quarterly fees are collected up to and including the last day of the calendar month or calendar quarter respectively. Fees may be applied to a managed account only if the Agent has appropriate documentation on file with the Firm.

SIGNED BY THE CLIENT

I, the Client, agree to all of the terms set out above.

- Both applicants will need to sign this section.

<input type="text"/>
Name of Client
Signature
<input type="text"/>
Date (DD/MM/YYYY)
<input type="text"/>
Name of Joint Account Holder
Signature
<input type="text"/>
Date (DD/MM/YYYY)

SIGNED BY THE AGENT

I, the Agent, agree to all of the terms set out above.

- If you are a natural person, you should complete Part A.
- If you are a company or partnership, you should complete Part B.

PART A – FOR NATURAL PERSONS
<input type="text"/>
Name of Agent
Signature
<input type="text"/>
Date (DD/MM/YYYY)

PART B – FOR COMPANIES AND PARTNERSHIPS
<input type="text"/>
Name of Authorised Signatory
Signature
<input type="text"/>
Date (DD/MM/YYYY)



ITI CAPITAL

ITI Capital Limited

Level 33, Tower 42
Old Broad Street
London
EC2N 1HQ

Pj qpg< +44 (0)20 3889 8333"
Go ckr< ewuqo gtugtlegB kclecr kcrftqo
Y gd< j wr <fly y y 0kclecr kcrftqo

